Management Systems Certification Audit Summary Report for:

Tec-Ties Ltd

Report Completed By:	Rachael Scholfield
Audit Date(s):	30 September 2026
Standard(s):	ISO9001:2015 ISO14001:2015
Audit type:	Surveillance Audit
Client Address:	Hague Bar Works, Hague Bar New Mills, SK22 3AT

Audit Outcome Summary

Date Report issued:	30 September 2026
Total no. of Findings Raised:	0
Auditor Recommendation:	Issue Certificate(s)
Next audit Date:	September
Final Outcome:	Pass
Notes / Comments:	Discussed upcoming changes to ISO and this will be updated next year.

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Confirmation of Audit Details

The following details were confirmed at the start of the audit;

Audit address / Location(s): (If different from Client Address)			
Scope of Certification:	Design and manufacture of unique fixings for the construction industry		
Has scope been changed?	No		
Certificate details been changed?	No	**New cert required?**	Choose an item.
Client details correct?	Yes	**Update required?**	Choose an item.
Contact name and email address for report:	Chris Whitney chrisw@tecties.co.uk		
Notes / Comments:			

Assessment Participants

Name	Position
Chris Whitney	Office Manager
Rachael Scholfoeld	Lead auditor

Audit Report Introduction

This report has been prepared to summarise audit findings and also provide feedback and guidance that the auditor may feel is relevant or useful. In addition to this report the auditor may also provide informal feedback during the audit. If you have any questions about the findings detailed in this report, please contact us:

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Classification of findings / concerns that may be reported;

Finding Classification	What finding means
Major Non-Conformance (MNC)	This indicates that the auditor has found evidence of something significant, i.e. a legal compliance issue or complete lack / failure of management systems to meet requirements of the standard, which must be addressed and closed before certificate can be issued (existing certification may be suspended / withdrawn until resolved).
Minor Non-Conformance (NC)	This indicates that the auditor has established that there is a finding or concern which must be addressed and closed out. Details of how to respond to findings are detailed at the end of this report. **Note: More than 5 NC's in the same report constitutes a MNC.**
Observation (Obs)	This is something that the auditor thinks may need review or attention and could lead to an NC in the future but there was insufficient evidence to state with certainty that it was an NC at the time of audit. There is no limit to number of observations that can be issued and these usually do not require an immediate response but will be formally reviewed during the next surveillance audit.

Comments

As well as findings / concerns that will be summarised at the end of the report the auditor may also wish to formally raise other issues or comments (including issues out with the scope of the audit) and these comments can be added to the report but will not be included in the report summary as they do not require any response or action.

Opportunity For Improvement (OFI) / Recommendation	Recommendations for improvement or advice which may or not be within the audit scope.
Commendation	This indicates something that is very well managed or which the auditor would like to raise in the report as exemplary.

Audit Objectives and Criteria;

The objectives of this audit were:

- To assess the extent that the management system conforms with the requirements of the standard(s)
- To assess the effectiveness and suitability of the management system and documentation and to determine if following own documented procedures
- To review that the operations covered by the scope of this audit are meeting all applicable statutory and regulatory requirements

Disclaimer;

This report is based upon evidence viewed during the audit. Auditing is based on a sampling process of the available information and consequently there will always be an element of uncertainty present in auditing evidence, which may be reflected in the audit findings. Those relying or acting upon the audit results and conclusions should be aware of this uncertainty. ISO Assured ltd (isoassured) accepts no responsibility or liability for any loss, damage or expenses caused by actions taken based on findings in this report.

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Audit Evidence Summary

This section of the report details the evidence viewed during the audit and any comments including opportunities for improvement / recommendations or commendations will be detailed in the relevant place within this section. Where any findings or concerns are identified they will be highlighted in the confirmation of compliance table and details will be in the **Summary / Conclusions** section at the end of this report.

Introduction / Review of Previous findings

Opening meeting

Site walk around

NCRs Questions

Significant Developments at the company

New Sales Development exec has now been appointed. Chris is now the office manager.

Summary of Findings / Concerns raised in previous audit (if applicable)

No.	Classification	Summary of Finding
1	OFI	To include positive impacts within Aspects & Impacts register

Review / Closure of previous findings (if applicable)

Solar panels have now been included into the aspects and Impacts register as a positive impact. Carbon energy usage master spreadsheet also collates data for solar and energy use. This OFI is now closed off.

< General Requirements >

Management System Documentation

Integrated system documents are stored within Shared Drive

Process maps, SOPs, registers and forms are all included within the integrated system.

Management of Documented Information

ERP system has 2 factor authenticators

Cloud based

Scope & Internal / External Context

Scope has been agreed and is appropriate the business activities.

Internal and external issues have been documented on R07 and this has undergone full review.

Interested Parties & legal, contractual and regulatory obligations

Witnessed R08 Interested Parties register witnessed and undergone full review with no updates or changes.

R09 Aspects Impacts & Legislation witnessed, and this includes the Waste separation changes 2025 – food waste bins are now located around the site and signage.

Confirmation of Compliance

Evidence has been viewed to confirm the following requirements have been met;	
Management System Documentation suitable for the organisation and effectively managed	**Yes**
All company documentation and records effectively managed	**Yes**
Scope & Internal / External Context documented and appropriate	**Yes**
Interested parties (internal and external) needs and expectations identified and appropriate	**Yes**
Contractual and regulatory obligations considered and being met	**Yes**
Note: Details of any findings / concerns identified are included in the report summary	

< Leadership, Commitment and Planning >

Company Policies

Authorised (MD) and displayed around the site

Evidence sampled:



Roles and Responsibilities

The organisational chart now includes the Sales exec role and Chris prompted to Office Mnager.

Job descriptions in place and have undergone review roles and responsibilities are clearly defined.

Evidence sampled:

Organisational Chart – Tec Ties Limited

BOARD OF DIRECTORS

DIRECTOR (01)	DIRECTOR (02)
OFFICE / COMMERCIAL MANAGEMENT	**PRODUCTION & OPERATIONS**

Office & Commercial	Quality / Technical	Production & Engineering	Logistics & Support
Sales Manager	Quality Representative	Production Manager	Despatch
Sales Development	Technical Manager	Engineer	Drivers
Office Manager	Internal Auditor	Team Leaders	Health & Safety
Accounts	Calibration	Production Operatives	HR
Purchasing			
Import Administrator			
Marketing			

Management Review and Objectives

Management completed with top management involved – 29/09/26

The meeting followed an agenda and minutes recorded.

R03 Quality and Environmental Objectives have been agreed for the coming year and this now has 15 objectives documented.

Examples:

- New machine -BMP4X-1500/100T (For new formed Channel ties & Free cramps)
- Construction Product Information (CCPI)

Risks and Opportunities

Witnessed R02 SWOT & PESTLE and R02A Business risk register. This has undergone full review.

There are 7 items within the register and this has mitigation controls recorded.

Confirmation of Compliance

Evidence has been viewed to confirm the following requirements have been met;	
Leadership and commitment requirements satisfactorily evidenced	**Yes**
Suitable Policies prepared and available to interested parties	**Yes**
Organizational roles, responsibilities and authorities clearly defined	**Yes**

Management review completed as required, documented and covering all required areas	**Yes**
Measurable objectives set, communicated, monitored and planning to achieve objectives	**Yes**
Risks and opportunities identified and actions taken to address these as required	**Yes**
Note: Details of any findings / concerns identified are included in the report **summary**	

< Resource Management and Support >

Staff Training, Awareness and Competence

TBT on mandatory topics are delivered to all operational and office staff

External training has been completed for:

FLT

Laith training

Training matrix evidence sampled:

R21 - Skills & Competency Matrix

Legend:
T = Training Required
C = Competent
R = Competency Required
D = Competency Desirable
O = Competency Optional
N = Competency Not Required

	Fire Risk Assessment	Fire Marshal TBT	Fire Plan	Annual refresher training	Health and safety booklet	Visitors Health and Safety Induction	Health and Safety Induction	First Aider	CRM	CRM Phase 2	Sage 50	Non-Conformance Reporting	Raw Material Wire Intake	Raw Materials Strip Intake for channel ties	OTIF reporting	Corrective Action Report	ISO internal Audit	Purchase Orders	Goods In
Document Reference	TBT003	TBT003	RA002	TBT001	received	R25	R24	certificate	tba	tba	tba		SOP03	SOP05	R23	R20	M12	PR006	tba
Document signed off																			
Position: Director **Name: Diane Morlidge - Wood**	C	C	C		C	C	C	N	T	T	C	C	D	D	T	T	T	C	N
Position: Managing Director **Name: Bob Wood**	C	C	C		C	C	C	N	N	N	N	N	N	N	N	N	N	N	N
Position: Sales Manager/Technical **Name: Sara Snow**	C	C	C		C	C	C	C	C	T	C	C	N	N	T	T	N	C	T
Position: Sales Executive **Name: Chris Whitney**	C	C	C		C	C	C	N	C	T	C	C	C	C	C	T	R	C	T
Position: Sales Executive **Name: Marco Van Laere**	C	C	C	C	C		C	N	C	C	C	C	C	C	N	T	N	C	T

Management of Equipment / Premises

IT – External Support Complink

PAT testing completed internally annually.

Recently refurbished office space with large windows that offers natural light and views over the peaks.

Kitchen and washroom facilities are available for staff.

Evidence sampled:

R04 Calibration Register

Item Description	Make	Model	Equipment N°	Method*	Calibration Interval	Last Tested	Next Test	Comments
RULER - STEEL	RABONE	1 METRE	R1	ITTC GUIDELINES VISUAL INSPECTION CLEAN	YEARLY	August 2026	September 2027	CALIBRATION OF STEEL RULERS ITTC GUIDELINES KEPT IN ISO 9001 FOLDER
RULER – ALUMINUM		600mm	R2	VISUAL INSPECTION CLEAN	YEARLY	August 2026	September 2027	
RULER – STEEL		605mm	R3	ITTC GUIDELINES VISUAL INSPECTION CLEAN	YEARLY	August 2026	September 2027	CALIBRATION OF STEEL RULERS ITTC GUIDELINES KEPT IN ISO 9001 FOLDER
RULER – STEEL	RABONE	300mm	R4	ITTC GUIDELINES VISUAL INSPECTION CLEAN	YEARLY	August 2026	September 2027	CALIBRATION OF STEEL RULERS ITTC GUIDELINES KEPT IN ISO 9001 FOLDER
RULER – STEEL	RABONE	300mm	R5	ITTC GUIDELINES VISUAL INSPECTION CLEAN	YEARLY	August 2026	September 2027	CALIBRATION OF STEEL RULERS ITTC GUIDELINES KEPT IN ISO 9001 FOLDER
RULER - STEEL		150mm	R6	ITTC GUIDELINES	YEARLY	August 2026	September 2027	CALIBRATION OF STEEL RULERS ITTC GUIDELINES KEPT IN ISO 9001 FOLDER

Communication

Communication table is documented within the integrated system R10 this has undergone review and has been authorised by the managing director.

Confirmation of Compliance

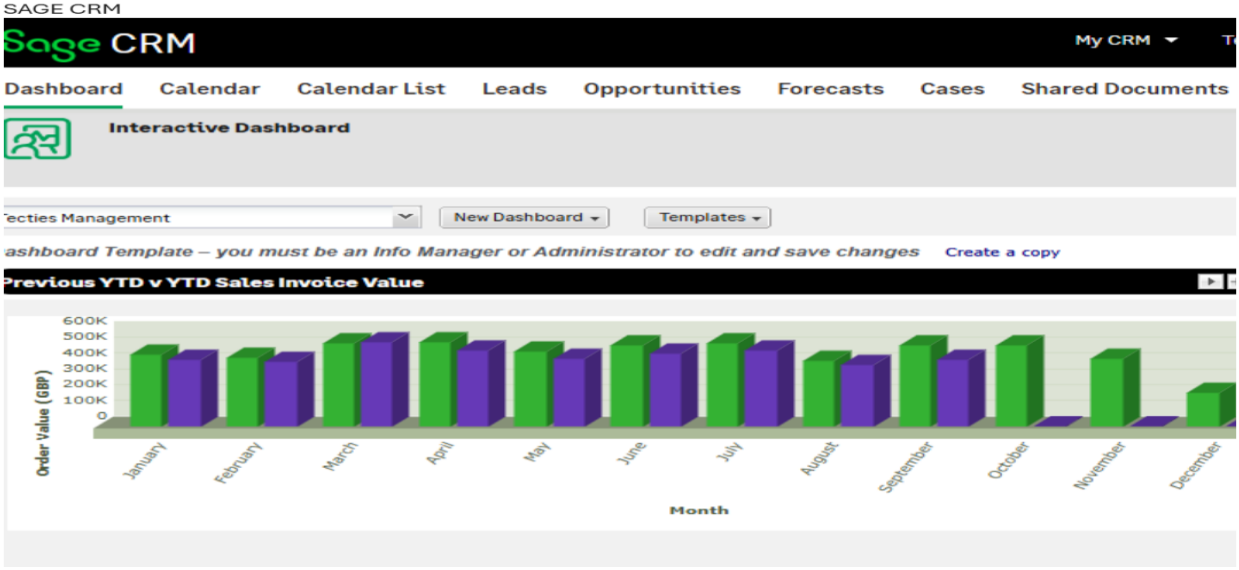
Evidence has been viewed to confirm the following requirements have been met;	
Staff training, awareness and competence managed effectively	**Yes**
Equipment and premises managed effectively including calibration (if applicable)	**Yes**
Effective communications in place for internal and external communications	**Yes**
Note: Details of any findings / concerns identified are included in the report summary	

< Operational Processes >

Control of Enquiries & Sales

Sage CRM evidence sampled:

SAGE CRM



Control of Purchasing and Outsourced Services

R22 Approved Supplier register – long standing relationships with all suppliers and there have been no issues in the last 12 months.

R19 NCR register includes a supplier NCR tab where any issues are fully investigated.

Control of Operations

ERP Sage 50 evidence sampled:

SAGE CRM 50 ERP

Sage 50 Accounts Professional - Tec-Ties Ltd

File Edit View Modules Settings Tools Favourites WebLinks Software updates Help

Home
Apps and add-ons
Business dashboard
Customers
Quotations
Sales orders
Invoices and credits
Suppliers
Purchase orders
Products and services
Departments
Projects
Diary

Sage Copilot | Active

New Edit Duplicate Duplicate as Quotation Duplicate as Invoice Allocate stock Amend allocations Generate PO Shortfall Despatch orders Despat notes

Refresh Filter Search... All records (23880)

No.	Type	Date	Name	Amount	Total Net	Total
24066	Ord	30/09/2026	JAMES BURRELL LIMITED	40.03	33.36	
24065	Ord	29/09/2026	WESSEX FIXINGS LTD	999.60	833.00	
24064	Ord	29/09/2026	NMBS - DORSEY CONSTRUCTION MATERI...	480.00	370.00	
24063	Ord	29/09/2026	REACT BUILDING PRODUCTS LTD	5190.00	4325.00	
24062	Ord	29/09/2026	A W LUMB & CO LTD	2349.00	1957.50	
24061	Ord	29/09/2026	SIG ASH VALE	1320.00	1100.00	
24060	Ord	29/09/2026	NMBS - INFINITY CONSTRUCTION PRODU...	1548.00	1290.00	
24059	Ord	29/09/2026	NMBS - INFINITY CONSTRUCTION PRODU...	816.00	680.00	
24058	Ord	29/09/2026	REACT BUILDING PRODUCTS LTD	3888.00	3240.00	
24057	Ord	29/09/2026	JAMES BURRELL LIMITED	480.00	400.00	
24056	Ord	29/09/2026	JAMES BURRELL LIMITED	336.00	250.00	
24055	Ord	29/09/2026	NMBS - BROWNS BUILDERS MERCHANTS LTD	84.96	70.80	
24054	Ord	29/09/2026	NMBS - BROWNS BUILDERS MERCHANTS LTD	717.60	598.00	
24053	Ord	29/09/2026	NMBS - DORSEY CONSTRUCTION MATERI...	2640.00	2200.00	
24052	Ord	29/09/2026	JAMES BURRELL LIMITED	1620.00	1350.00	
24051	Ord	29/09/2026	REACT BUILDING PRODUCTS LTD	20899.20	17416.00	
24050	Ord	29/09/2026	P M HARDWARE LTD	362.56	302.13	

Evidence sampled of SOP goods in and Goods out:

Tec Ties Ltd — Standing Operating Procedure: **Goods IN**

Task:	**Goods in**				
Revision Date:	06/08/2025	**Issue Number:**	1	**SOP Reference:** SOP09	**GOODS IN**
Equipment needed:	Fork lift truck Pallet Knife Pen Gloves Calculator				
Risks:	HAZARDS 1. FLT hazards involved with operation. 2. Injuries arising from contact with tipping / moving load. 3. Sharp edges holding products CONTROLS 1. FLT license holders only. 2. Wear gloves and steel toe capped footwear. 3. Tie hair back. 4. No baggy clothing. 5. Remove all pedestrians from area when operating FLT. 6. Trained persons to carry out procedure only.				
Who:	FLT license holders only, trained operators only, Administration				

Tec Ties Ltd — Standing Operating Procedure: **Despatch**

Task:	**Prepare sales orders for despatch**				
Revision Date:	16/07/2025	Issue Number:	2	SOP Reference: SOP02	**Despatch**
Equipment needed:	Fork lift truck Pallet Pallet Wrap Gloves				
Risks:	HAZARDS 1. FLT hazards involved with operation. 2. Injuries arising from contact with tipping / moving load. 3. Sharp edges holding bundles. CONTROLS 1. FLT license holders only. 2. Wear gloves and steel toe capped footwear. 3. Tie hair back. 4. No baggy clothing. 5. Remove all pedestrians from area when operating FLT. 6. Trained persons to carry out procedure only.				
Who:	FLT license holders only, trained operators only.				
Step:	a) Collect **PICKING TICKETS** from blue tray located outside sales office. Orders that are to be despatched via Fedex or by the Van are placed at the top **PICKING TICKET** pile and are to be actioned first. if sales orders are to be despatched via the VAN then: **X2 DELIVERY NOTES** are with the **PICKING TICKET**. Once the driver has returned, the signed and dated **DELIVERY NOTE** are to be passed to sales office.			Photo: a. 	

Management of Change, Variations and Design

PR001 Design and Development process flow – this had a review and there are no changes.

Technical & Marketing folder hold all assets with design files, product check sheets, product information change log as examples.

Confirmation of Compliance

Evidence has been viewed to confirm the following requirements have been met;	
Enquiries and sales process managed effectively (if applicable)	**Yes**
Purchasing and control of outsourced services managed effectively	**Yes**
Operational activities managed effectively	**Yes**
Design and management of variations managed effectively (if applicable)	**Yes**
Note: Details of any findings / concerns identified are included in the report **summary**	

< Monitoring, Evaluation and Improvement >

Customer Satisfaction

All customer feedback is logged within customer folder January 2026 was the last email received by a client. Encon Construction.

Control of Non-conforming Outputs, Nonconformity and corrective action

R19 NCR is a comprehensive register that logs OTIF, Customer NCR, Supplier NCR and production NCR

Evidence sampled:

Date	Received by	Sales Order Number	Customer	Customer/Sup contact
08/07/2026	CHRIS	22906	MACBLAIR	PADRAIG
08/07/2026	Di	23607	james Burrell	Craig
08/07/2026	Di	23178	Surefix	Brittany
22/07/2026	Di	23325	James Burrell	Richard
23/07/2026	CHRIS	23223	INFINITY	Debz
03/08/2026	SARA	23466	GRAYSON	DANIEL
07/08/2026	Di	23727	Dorsey	Rob
12/08/2026	CHRIS	23488	MACBLAIR	PADRAIG
12/08/2026	CHRIS	23546/23530	A W Lumb	N/A
18/08/2026	CHRIS	23633	D J EVANS	JASON
19/08/2026	MARCO	23643	DRINKWATER	DARREN
04/09/2026	Di	23742	React	Ben
14/09/2026	CHRIS	23853	SAMAC	N/A
14/09/2026	Sara	23858	Scott Parnell	Peter
15/09/2026	CHRIS	23811	NEWHOUSE	RICHARD

Management System Audits

Internal audit programme is populated for the coming year and internal audits have been completed. Sampled 3 and there were no issues.

Continual Improvement

Documentation is being updated and Chris has a good understanding of the standards and how to effectively maintain it.

Investments into new machinery

Carbon monitoring which may help with EPD in the future.

Confirmation of Compliance

Evidence has been viewed to confirm the following requirements have been met;	
Effective systems in place to monitor, collect and analyse feedback from customers (if applicable)	**Yes**
Effective systems in place for dealing with non-conforming outputs	**Yes**
Effective management of non-conformities, corrective action and review of issues	**Yes**
Audits planned, managed and completed effectively and documentation available	**Yes**
Evidence of continual improvement and adequate mechanisms in place to achieve this	**Yes**
Note: Details of any findings / concerns identified are included in the report summary	

< Environmental Management >

Commitment to Environmental Protection - Legal Compliance, Environmental Updates, Awareness

Aspects and Impact and legislation register has undergone full review, this now includes positive impact of solar panel usage.

TBT delivered to staff on ISO 9001 & 14001 awareness.

Environmental Assessment and Life Cycle Perspective

Aspects and impact are recorded and no changes since the last audit

Environmental Incident prevention and Management - Emergency Preparedness

No incidents recorded within the last 12 months. Fire testing completed and all fire extinguishers are serviced annually. Business continuity plan in place.

Environmental Procedures

Business continuity plan and undergone full review. TBT delivered regularly to all operational and office staff.

Evidence from site walkaround:













Confirmation of Compliance

Evidence has been viewed to confirm the following requirements have been met;	
Commitment to environmental protection and environmental compliance obligations	**Yes**
Environmental awareness and updates	**Yes**
Environmental impacts assessment and consideration of life cycle perspective	**Yes**
Environmental incident prevention, management and effective emergency preparedness	**Yes**
Appropriate procedures for environmental management	**Yes**

Note: Details of any findings / concerns identified are included in the report **summary**

Summary / Conclusions

Audit Summary and Conclusions

A surveillance audit of the Integrated Management System (IMS) for ISO 9001:2015 and ISO 14001:2015 was completed. The audit included a review of documented information, interviews with personnel and sampling of operational activities and records to verify continued conformity with the requirements of both standards.

The management system continues to be effectively implemented and maintained throughout the organisation. Management demonstrated a clear commitment to quality and environmental performance, with appropriate resources provided to support the effectiveness of the integrated management system. Staff interviewed were knowledgeable of their responsibilities and demonstrated a good level of awareness of the company's quality and environmental objectives.

Notes / Comments

Well done team!

Auditor Recommendation:	Issue Certificate(s)

Summary of Findings / Concerns

No.	Classification	Summary of Finding
1	OFI	To include Carbon Energy usage spreadsheet to Q05 doc register.

Review / Follow up required

Based on the above summary of findings the following follow up response has been requested.

Response / Follow up required	No response required
Details	

Where a response is required, please use the relevant form which can be downloaded here - **Audit-Forms**

- **IAF21_Audit-Follow-Up** – use this form when a follow up response has been requested
- **IAF22_Audit-Evidence-Request** – use this form when further evidence has been requested

Where a response is requested, it should be returned within 3 months of the date this report unless a different response date is detailed above.

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- **giveusfeedback.co.uk/isoassured**

Ongoing certification

Ongoing ISO certification is subject to completion of an annual surveillance audit and this will be scheduled for a date around the anniversary of the date of initial certification.

Isoassured resources and support

We provide resources and support to help our certification clients to maintain and develop management systems to ensure meeting ISO requirements. Support includes our **alphaZ documents** package which is available online at – **isomanaged.com**

To get discounted access to **alphaZ documents** please contact us.

Direct-Debit payment

Certification can be paid by direct debit; the payment mandate can be setup online here – **direct debit setup**